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USING NETWORK ANALYSIS TO CHARACTERIZE FOREST STRUCTURE

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ABSTRACT. Network analysis quantifies different structural properties of systems of interrelated parts using a single analytical framework. Many ecological phenomena have network-like properties, such as the trophic relationships of food webs, geographic structure of metapopulations, and species interactions in communities. Therefore, our ability to understand and manage such systems may benefit from the use of network-analysis techniques. But network analysis has not been applied extensively to ecological problems and its suitability for ecological studies is uncertain. Here, we investigate the ability of network analysis to detect spatial patterns of species association in a tropical forest. We use three common graph-theoretic measures of network structure to quantify the effect of understory tree size on the spatial association of understory species with trees in the canopy: the node degree distribution (NDD), characteristic path length (CPL), and clustering coefficient (CC). We compute the NDD, CPL, and CC for each of seven size classes of understory trees. For significance testing, we compare the observed values to frequency distributions of each statistic computed from randomized data. We find that the ability of network analysis to distinguish observed patterns from those representing randomized data strongly depends on which aspects of structure are investigated. Analysis of NDD finds no significant difference between random and observed networks. However, analysis of CPL and CC detected nonrandom patterns in three and one of the seven size classes, respectively. Network analysis is a very flexible approach that holds promise for ecological studies, but

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more research is needed to better understand its advantages and limitations.

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1 Introduction. Network analysis is used to study a wide range of natural and artificial systems, including social networks (Wasserman and Faust [1994], Scott [2000]), technological networks such as the world wide web (Albert et al. [1999]), and biological networks such as foodwebs (Williams and Martinez [2000]) and metabolic networks (Fell and Wagner [2000], Jeong et al. [2000]). Mathematical graph theory provides the tools needed for analyzing the structure of networks (which are therefore referred to as graphs). In the above examples, graph-theoretic approaches proved useful in detecting and understanding the causes of observed patterns. Given the network-like quality of many ecological phenomena, network analysis may be ideally suited for understanding ecological systems. For example, it may help to identity the processes by which species are able to coexist in communities (Dale [1985]). However, the use of graph-theoretic approaches by ecologists is limited to a few specific contexts. Most ecological studies involving graph-theoretic approaches focus on the structure of food webs (Briand and Cohen [1984], Pimm [1984], Cohen and Palka [1990], Williams and Martinez [2000], Williams et al. [2002], Garlaschelli et al. [2003]), with occasional forays into landscape ecology (Cantwell and Forman [1993], Urban and Keitt [2001]) and nearest-neighbor analysis in plants (Dale and Powell [1994]. Here, we extend the use of graph theory in ecology by applying it to the analysis of a single-trophic-level species community. We use three measures of graph topology to characterize the spatial organization of a tropical tree community.

Although graph theorists have developed many different metrics for quantifying network structure (see review of popular approaches in Newman [2003]), most graph-based studies in ecology use one of two approaches: minimum spanning trees or polygon analysis (i.e., Dirichlet domains, Delaunay networks, Voronoi polygons). These approaches are useful and they have been advocated by some ecologists for the analysis of spatial patterns (Dale [1977, 1985, 1999], Urban and Keitt [2001], Fortin and Dale [2005]). By contrast, ecologists who study food webs tend to ignore graph-based measures of structure

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and instead invent indices to quantify specific food web properties, such as food chain length (Cohen et al. [1986]) and intervality (Cohen and Palka [1990]). While there are exceptions to this approach (Dunne and Martinez [2002], Williams et al. [2002], Garlaschelli et al. [2003]), overall ecologists have made little use of the diversity of graph-theoretic metrics that are commonly employed in other fields. Here, we present an analysis of species patterns in a tropical forest that employs three graph-theoretic measures of structure used in other fields. Specifically, we use the node degree distribution (NDD), clustering coefficient (CC), and characteristic path length (CPL; Wasserman and Faust [1994], Newman [2003]). These metrics are used to investigate a wide range of phenomena (Watts and Strogatz [1998], Barabasi et al. [2000], Jeong et al. [2000], Strogatz [2001], Dunne and Martinez [2002]). We use them to investigate how the spatial association of tree species changes with increasing tree size. We compare networks representing the observed pattern of species association to those representing trees whose spatial positions are randomized. Our purpose is to evaluate the usefulness of graph-theoretic metrics as measures of community structure.

1.1 Three measures of network organization. Here we describe the measures of graph topology we chose for our analysis. We assume the reader is familiar with the basic principles of graph theory as can be found in such texts as Harary [1969], Gross and Yellen [1999], and Newman [2003].

1.1.1 Node degree distribution. The degree of a node is the number of edges connected to it. The degree distribution characterizes the likelihood that a randomly chosen node will have a degree of a given value. In a directed graph, node degree represents two components: the outgoing edges (out degree) and incoming edges (in degree). Here, we used the simpler construction of undirected graphs to calculate node degree. In our species-association networks (SANs), node degree represents the number of heterospecifics that each species interacts with in local neighborhoods. In other words, node degree is a measure of the diversity of local neighborhoods and is proportional to the probability that a neighbor of a given tree is a different species. Negative interactions (i.e., competition) and strong differences in abiotic

Clustering Coefficient **Characteristic Path Length**

FIGURE 1. Measures of network structure. Top: $CC(A)$ = the clustering coefficient of node A. $CC(A)$ depends on the number of edges that connect the neighbors of node A (nodes B, C, and D). In the figure, CC increases from left to right with increasing connections between the neighbors of A. Bottom Panel: CPL = characteristic path length. Both networks contain the same number of nodes and edges, but differ in CPL because of differences in how the nodes are connected.

preferences among heterospecifics can reduce a species node degree relative to a randomly organized community, while positive interactions (e.g., facilitation) and shared habitat preferences may increase node degree.

1.1.2. Clustering coefficient. Consider a species v and its k_v neighbors—species directly connected to v —in a SAN. The CC of v measures the likelihood that these neighboring nodes or species are

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4 also neighbors of each other (Figure 1). Specifically, for an undirected
5 graph:

$$6 \quad (1) \quad CC_V = \frac{E_V}{k_V (k_V - 1) / 2},$$

7
8
9 where E_V is the number of edges among the k_V species (excluding v
10 itself). Here, the value $k_V(k_V - 1)/2$ is the maximum possible number
11 of edges among all k_V species connected to v . The CC ranges from
12 zero (i.e., the neighbors of v are not neighbors of each other) to one
13 (i.e., each neighbor of v is also a neighbor of every other neighbor of
14 v). The value of CC_V measures the “cliquishness” of a graph, that is,
15 the extent to which species form small groups that show preferential
16 interactions within the group. This could occur, for example, if several
17 species were restricted to a specific habitat type, and were thus more
18 likely to be associated. Species that have extreme habitat requirements
19 or that exert strong negative effects on neighbors are more likely to have
20 low values of CC_V . The CC of a graph is the average of the CCs of
21 the individual nodes.
22

23
24 **1.1.3 Characteristic Path Length.** Path length refers to the short-
25 est distance between two connected nodes, measured by the number
26 of edges that separate them. The CPL, of a graph is the shortest path
27 length averaged over all node pairs that are connected by a path of
28 edges (Figure 1). We used a directed graph to calculate CPL. In a
29 directed graph, CPL is affected by the ratio of ingoing edges to out-
30 going edges connected to each node. If most edges in a graph are
31 predominantly of one type a larger number of edges on average span
32 the distance between two nodes, increasing CPL (see Figure 1, e.g.).
33 Thus CPL is a measure of the overall density and symmetry of net-
34 work connections. The more heterospecific neighbors each species in
35 a SAN has, on average, the shorter the CPL of the SAN. Thus fac-
36 tors that enhance the likelihood that species co-occur as neighbors,
37 such as high relative abundance, shared habitat preferences, facilita-
38 tion, and well-mixed spatial distributions can reduce the path length
39 between any two species (nodes) in a SAN. By contrast, the connect-
40 edness of a SAN will be lower, and the CPL will be greater, if compe-
41 tition and abiotic filtering exert a strong negative influence on species
42 co-occurrence.

1.2 Network analysis of community structure in a tropical dry forest.

To test the usefulness of network analysis we compare the observed spatial pattern of tree species in a community to a random spatial pattern. Specifically, we analyze the effect of tree size on the spatial association of tree species in a Costa Rican dry forest. The number of tree species that coexist in the understory of a forest is influenced by multiple biological and environmental processes (Janzen [1970], Connell [1978], Cornell and Lawton [1992]). For example, species interactions may prevent or facilitate the coexistence of some species, variation in habitat conditions may cause certain species to be spatially aggregated and others to be segregated, and dispersal limitation can induce spatial autocorrelation in the density of species and cause random spatial variation in community composition (Legendre [1993], Dale [1999]). The relative importance of these factors is likely to change with the size or age of individuals. For example, the effect of weak competitive interactions on species coexistence may not manifest until neighboring individuals have grown beside each other for some time. Another factor that influences coexistence is that the number of species of a given size decreases with increasing size. This phenomenon is known as the self-thinning law (Yoda et al. [1963], Weller [1987]) and is also referred to as an allometric scaling law (White [1981], Niklas [1994], West et al. [1999], Enquist and Niklas [2001]). Thus the spatial distribution of species, and the likelihood that two species coexist in the understory, is the result of some combination of random and deterministic processes. Here, we ask whether network analysis can detect the influence of tree size on the spatial association between understory species and their taller overstory neighbors. If deterministic processes that influence coexistence change in magnitude according to tree size, we should see differences between random and observed patterns of association.

To address the above question, we represent community structure as a network which we call a SAN. Specifically, this network is a graph whose nodes are species. An edge connects a species v_1 to species v_2 if the understory of at least one large tree of species v_1 harbors a smaller tree of species v_2 . We define a tree to be part of the understory of a target tree if its crown overlaps the crown of the target by at least 50%. Previous experiments show a gradual decreasing trend in the fraction of the species community represented in a SAN with increasing crown

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overlap. For example, using four tree size classes we examined the fraction of the species community included in each of 10 separate annuli of crown overlap, ranging from 1–10% to 90–100% crown overlap (Fuller [2004]). The average community fraction represented in the 90–100% overlap annulus is 64.9%, while the richest annulus (1–10% overlap) averaged 75.9% of the community. As increasing the percent overlap yields a difference of only 11% in the fraction of the community included, we chose here to use a single overlap category of 50%. This approach greatly simplified our analysis.

The data represent a community of tree species located within the San Emilio Forest of northwest Costa Rica. The San Emilio Forest is a seasonally dry lowland tropical forest ($10^{\circ} 45' N$, $85^{\circ} 30' W$) within sector Santa Rosa, Area de Conservacion, Guanacaste. For details on the physical and biological characteristics of the study plot see Enquist et al. [1999]. The data represent all trees with a basal stem diameter at breast height greater than 3 cm. We refer to diameter at breast height simply as the *stem diameter*. The stem diameter, species identity, and geographic coordinates of each tree were recorded by B.J. Enquist and C.A.F. Enquist between 1995 and 1996 within a continuous 14.2 ha rectangular plot within the forest (Enquist et al. [1999]). The plot is heterogeneous with respect to age, topography, and degree of deciduousness. In total, 13,639 individual trees (106 species) were used in our analysis. To quantify the effect of local neighborhood composition on community structure, we restrict the definition of a neighborhood to include only those trees that grow close enough to one another that their crowns overlap by at least 50%. The crown overlap of two trees is the area of the ground shaded by their overlapping foliage. We define *percent crown overlap* as the percent of the crown area of a tree covered by the larger crown area of a taller tree.

We estimated percent crown overlap based on the spatial coordinates of individual trees and the empirical allometric relationships among stem diameter, stem height, and crown diameter. Empirical and theoretical studies reveal that allometric scaling relationships exists between the height and radius of a tree's crown and its diameter (Baker [1950], Niklas [1994] and references therein). For trees in our study area, B.J. Enquist quantified these allometric relationships using tree measurements recorded in the field. Specifically, he quantified the relationships among stem diameter, crown area, and tree height for most

of the abundant species within the plot (38 species). Enquist regressed data at both the inter and intraspecific level and found no significant differences in the allometric functions between taxa. The empirical allometric relationships are described by the following power functions:

$$(2) \quad \text{Crown Area} = 62.99D^{0.66},$$

$$(3) \quad \text{Crown Height} = 1.61D^{0.59},$$

where D is the stem diameter at breast height. Here we use interspecific allometries for both crown area and height. The above allometric relationships predict well the empirically measured values of crown height and area ($R^2 = 0.918$ and 0.922 , respectively).

To estimate the percent of crown overlap shared among individual trees we use the established relationship between stem and crown area and the known intertrunk distance of any two trees in the study plot. We assumed that tree crowns are roughly circular and symmetrical on average, and centered on the trunk. Although not strictly correct, and subject to individual variation, this assumption has precedence in other studies of forest structure (Porter [1989], O'Brien et al. [1995]), and allows us to estimate the area of ground shaded by a tree's crown as the area of a circle. By extension, one can estimate the percent crown overlap of two trees with the following equation, which describes the area of the lens formed by two intersecting circles:

$$(4) \quad A = r^2 \cos^{-1} \left(\frac{d^2 + r^2 - R^2}{2dr} \right) + R^2 \cos^{-1} \left(\frac{d^2 + R^2 - r^2}{2dR} \right) - \frac{1}{2} \sqrt{(R + r - d)(d + r - R)(d + R - r)(d + r + R)}.$$

Here, R is the crown radius of the larger tree, r is the crown radius of the smaller tree, and d is the distance among the crown centers, given by the intertrunk distance. We calculated crown radius from the estimate of crown area described by equation (2).

1.3 Species-association networks. To determine whether network analysis can detect vertical variation in the neighborhood

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4 structure of trees in the understory of larger trees, our construction
5 of a SAN centers on *large target trees* in the community, which we
6 define to be trees with a stem diameter of at least 40 cm. Multiple
7 processes influence the coexistence of species in the understory, some
8 of which may depend on the length of time individual trees occur next
9 to one another. We therefore performed separate analyses using trees
10 grouped into seven classes, according to their stem diameter: 5–10,
11 10–15, 15–20, 20–25, 25–30, 30–35, and 35–40 cm. Thus the similarity
12 between the size of the understory trees and the target trees on which
13 neighborhoods are centered increases with size class. The largest class
14 includes some trees that are of equal stature as the target trees. How-
15 ever, the number of trees (and species) in a size class decreases rapidly
16 with increasing size interval.

17 We constructed seven SANs, one for each of the listed seven size
18 intervals of understory trees. In this construction, we first established
19 a graph that consisted only of isolated nodes—nodes without edges—
20 each of which corresponds to one of the 106 species on the study plot.
21 For each of these species (nodes), we then sequentially carried out the
22 following steps. First, we identified all individuals of a given species
23 whose stem had a diameter of at least 40 cm. These are our *large target*
24 *trees* for the species. Second, for each large tree, we determined all trees
25 in the given stem diameter interval that are not of the same species,
26 and that have a percent crown overlap of 50% or greater with the large
27 tree. We call all trees that meet these criteria the *neighborhood* of the
28 large tree. For any species v that has a tree in this neighborhood of a
29 large tree, we establish an edge in the graph from the current species
30 to species v (Figure 2).

31 To construct the networks, we used the LEDA 4.3 package of C++
32 class libraries (Mehlhorn and Näher [2000]). To calculate the CPL, CC,
33 and node degree of the networks, we used the algorithms described in
34 Even [1979], Gibbons [1985], and Gould [1988].

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37 **1.4 Significance testing and randomization.** Because our ob-
38 served data represent a single tree community, we cannot directly calcu-
39 late a measure of variance for each measure of structure that allows us
40 to test the statistical significance of differences among classes. Instead,
41 we use a statistical bootstrap technique (Manly [1997]) to compare the
42 observed patterns against a null model. Published analyses of plant

FIGURE 2. Constructing a species-association network (SAN). This figure uses a hypothetical example to show how we construct a SAN. First, data (top left box) on the position, size, and identity of individual trees are converted to adjacency lists (bottom left) for each species. A species “B” is placed on the adjacency list of species “A” if a shorter individual of B is found at least once in the understory of a large (≥ 40 cm stem diameter) individual of species A. An individual tree “B” is considered to be in the understory of another, taller tree “A” if the crown of B is overlapped by the crown of A by $\geq 50\%$. Once each species adjacency list is compiled, we use the lists to construct a graph (depicted here as a network drawing, bottom middle of figure) in which nodes represent species and edges connect each node to the species found on its adjacency list. We then calculate the node degree, k , clustering coefficient of each node, $CC(i)$, and path length, $\langle l \rangle$ of each species (rightmost box). See text for descriptions of these metrics. Finally, we calculate the node degree distribution (NDD histogram), clustering coefficient ($CC = \text{average of } CC(i)$) and characteristic path length ($CPL = \text{average of } \langle l \rangle$) for the graph as a whole.

community structure using other measures of spatial structure, such as Ripley’s K (Ripley [1976]), frequently compare the observed spatial pattern to a pattern representing complete spatial randomness (CSR). Under CSR, individuals are randomly and uniformly distributed in space (see examples in Fortin and Dale [2005]). Although widely used, CSR has been criticized as unrealistic (Legendre [1993], Dale [1999]).

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4 Many processes can cause data values to be nonuniformly distributed
5 in space. For example, physical limits on the dispersal distance of seeds
6 and fruits can cause the density of individuals of a given species to be
7 spatially autocorrelated. We recognize the importance of considering
8 factors such as dispersal limitation when attempting to identify a spe-
9 cific process for a given spatial pattern. However, because our use of
10 graph-theoretic metrics is a new approach to the study community
11 structure, we consider it prudent to use CSR as a null model. Ecolo-
12 gists are familiar with CSR and using it facilitates comparisons of our
13 results to the many published studies that use CSR to analyze spatial
14 patterns.

15 To generate distributions representing CSR we simply rearranged
16 the position of each tree according to a uniformly distributed random
17 number. The new (randomized) position of each tree corresponds to
18 the position previous occupied by a different tree. This technique pre-
19 serves the observed pattern of where individual trees (but not species)
20 occur on the landscape, but removes any spatial structure, such as
21 autocorrelation, in the distribution of each species. To prevent the oc-
22 currence of unlikely combinations of neighbors, such as replacing two
23 neighboring small trees with two large trees, we randomized trees in
24 the each size class separately from trees in the other size classes. We
25 found no difference among the results for fully randomized data and
26 those for restricted randomization. We therefore used the simpler full
27 randomization approach.

28 To test the statistical significance of the observed patterns relative to
29 CSR, we generate 1000 iterations of each randomized SAN, from which
30 we derive a distribution of values for each measure of network structure
31 (e.g., the CC). For the NDD, we compare the observed distribution for
32 each size class to the mean distribution (mean of 1000 distributions) of
33 the randomized SANs using the Kolmogorov–Smirnov goodness-of-fit
34 test, which quantifies the difference between two distributions (Sokal
35 and Rohlf [1995, pp. 708–715]. For the CC and CPL we use the ran-
36 dom distributions to determine the probability of occurrence for each
37 of the observed values from each of the seven size classes. We con-
38 sider observed values that are greater than the upper 95 percentile
39 or less than the 5 percentile of the random distributions to be statisti-
40 cally significant. Finally, we compare the observed relationship between
41 the CPL and tree size class to the corresponding relationship of the
42

random SANs using the Kolmogorov–Smirnov test. We use an identical comparison for the CC.

2. Results.

2.1 Node degree distribution. As described above, node degree is a measure of the species diversity of local neighborhoods. We compared the NDD among all seven empirically observed SANs and their randomized counterparts. Unsurprisingly, the two distributions were not absolutely identical (Figure 3). Specifically, the numbers of species with a given number of interactions differed between each size class and between the randomized SANs and their empirically observed counterparts. However, we observed no statistically significant differences between random and observed SANs in the NDD ($p > 0.05$ in Kolmogorov–Smirnov tests applied to all seven SANs). Thus we did not detect a substantial difference between random and observed networks in the number of understory species with which each target tree coexists.

2.2 Clustering coefficients. The CC of a node is proportional to the number of connections among its neighboring nodes. Here, neighboring nodes represent heterospecifics that occur in the understory of each species. Thus the CC of each species is proportional to the number of neighboring heterospecifics that also occur in each other's understory. In other words, the CC is a measure of preferential association among a subset of species. The CC of a SAN is computed as the average of the CCs of individual species. We find that the SAN CCs decrease with increasing size class (Figure 4a), but the nature of the relationship appears to differ between the random and observed SANs. The relationship between stem size diameter and CC is exponential. To illustrate this point we log transformed the values and fit second-order polynomial curves to the data using the least squares method (see Figure 4a). We then calculated the coefficient of determination for each fit as a measure of the variance in CC explained by stem size. We find that stem size explains less of the variation in the observed SAN ($R^2 = 0.8633$) relative to the random SAN ($R^2 = 0.9961$). All but one of the observed CC values fall within the 95% confidence intervals of the distributions representing the random

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FIGURE 3. Effect of stem diameter on node degree distribution (NDD). This figure shows NDDs for three of seven SANs and illustrates the progression towards fewer pairwise species associations with increasing stem diameter of understory trees. Values in top right corner of boxes = stem diameter class. Shaded bars: empirically observed SANs; open bars: randomized SANs (mean of 1000 networks); error bars: 95% confidence limits of mean. The number of nodes of high degree decreases sharply with increasing stem diameter.

FIGURE 4. Effect of stem diameter on clustering coefficient (CC) and characteristic path length (CPL) of species networks. The horizontal axis indicates the stem diameter of understory trees used in the construction of the SANs we analyzed here. The vertical axes show the logarithmically transformed CCs (a) and CPLs (b). Shaded circles: values observed for empirically observed SANs; open squares: mean of 1000 randomized SANs; error bars: 95% confidence limits of the mean; solid (dashed) lines: second-order polynomial fit for empirically observed (randomized) SANs. “KS p ” indicates the p -value of a Kolmogorov–Smirnov tests that assessed whether the distribution of CCs and CPL was identical for empirically observed and randomized SANs. One asterisk = significant at the 0.05 level; two asterisks = significant at the 0.01 level. The relationship between CPL and stem diameter is multimodal in the empirically observed networks but unimodal in randomized networks. The increasing variation (error bars) of CPLs and CCs with increasing stem diameter in the randomized networks is caused by the smaller number of large understory trees in the larger size classes.

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4 SANs. The single exception is the observed SAN representing under-
5 story trees with exception is the observed SAN representing understory
6 trees wit 30–35-cm stem diameter that has a significantly lower CC (p
7 = 0.002). The overall relationship of the observed CCs to tree size class
8 (across all size classes) is not significantly different from the random-
9 ized SANs (Kolmogorov–Smirnov test, $p > 0.8$).

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11 **2.3 Characteristic path lengths.** Exactly analogous to our
12 analysis of CCs, we compared the CPL of the seven size classes. CPL
13 is a measure of the density of network connections and reflects the
14 equanimity of species spatial association. Higher path lengths indi-
15 cate spatial segregation, while lower path lengths reveal a high level
16 of spatial mixing. The mean values of the random distributions show
17 a unimodal relationship with tree size class, with the mean random
18 CPL being greatest in the middle tree size class (20–25 cm; Figure 4b).
19 Thus, when tree positions are randomized, the density of network con-
20 nections is a quadratic function of tree size class. By contrast, in the
21 observed networks there is a bimodal relationship between tree size and
22 CPL. In three of the seven stem diameter classes (10–15, 15–20, and
23 35–40 cm), understory trees show a statistically significant decrease in
24 CPL in randomized networks. On average, the observed CPL of the
25 SANs representing each size class tended to be higher than their ran-
26 dom counterparts. Also, the observed overall relationship between the
27 CPL and tree size class is significantly different from the randomized
28 SANs (Kolmogorov–Smirnov test; $p < 0.028$).

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31 **3. Discussion.** We examined the effect of tree age, size, and
32 species identity on the ability of individual trees to coexist in the un-
33 derstory of large trees. We did this by constructing SANs of trees in
34 seven different size classes and analyzing them using three measures
35 of network structure (NDD, CC, and CPL; Figure 2). Our use of net-
36 works in which nodes are species and edges represent coexistence in
37 local neighborhoods represents a completely new way of characterizing
38 forest structure. Combining the results we conclude that SANs de-
39 rived from four of the seven size classes (representing stem diameters
40 10–15, 15–20, 30–35, and 35–40 cm) can be distinguished from random
41 SANs based on either the CC or the CPL. Note that our randomization
42 method ensures that the *number* of trees and species found in each size

class is the same for observed and random SANs. Thus any differences detected among the SANs must be due to differences in the spatial distribution of species. Our inability to distinguish the pattern of species association of the remaining three size classes from random patterns suggests that one or more random processes dominate the distribution of trees in these classes. This does not rule out the action of deterministic processes, such as niche differentiation. But it does suggest that random processes dominate these levels of community organization. However, we cannot be certain whether we would have arrived at a different conclusion had we chosen a different network construction on the one hand, or different measures of network structure on the other.

There are many ways to depict a tree community as a network and each approach examines different aspects of community structure. For example, we could have constructed networks in which the nodes represent individual trees instead of species. This issue reveals both a strength and a challenge of graph-based approaches. Because the graph-based representation is very flexible, it has gained wide use in many disciplines. But the ability of network analysis to reveal patterns depends crucially on which measures of structure are chosen. Our approach is motivated in part by our question (how does the species composition of understory neighborhoods change with increasing tree size?) and in part by our knowledge of how environmental and ecological processes can influence the spatial distribution of plants. We chose three specific measures of network structure because they have been found useful in other disciplines. In the following paragraphs we interpret the results of each analysis based on the specific characteristics of each metric and our knowledge of the processes that govern forest structure.

The increasingly right-skewed NDDs of the observed and random SANs (Figure 3) indicate that the number of species in the understory declines with increasing tree size. This observation can be explained on the basis of well-known patterns of vertical structure in forests. Many studies have shown that tree density in closed-canopy forests decreases with increasing tree size (Yoda et al. [1963], Connell et al. [1984], Weller [1987], Hubbell et al. [2001]). This is a strong and universal pattern of forests and as noted above has been explained by the laws of tree thinning and allometry (White [1981], Niklas [1994], Enquist et al. [1998]). Here we find no statistical difference between the

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4 observed and random NDDs. This indicates that, with regard to the
5 distribution of the number of heterospecific neighbors of large trees, de-
6 terministic processes that limit tree density and species richness, such
7 as allometric scaling laws, outweigh the potentially nonrandom effects
8 of species identity, habitat selection, and dispersal limitation. This in-
9 terpretation speaks to issues of adaptation, competition, and species
10 diversity. For example, the node degree results suggest that the num-
11 ber of heterospecific neighbors a given tree has, and thus the number
12 of competitors it encounters, changes predictably as the tree grows and
13 regardless of where in the forest it resides. How does this predictability
14 influence the evolution of resource allocation strategies? With regard
15 to resource management, knowing that species diversity is spatially in-
16 variant could simplify management decisions. As used here, the node
17 degree is proportional to the number of species in a given area. Might it
18 therefore be useful in finding diversity hotspots within managed lands?

19 The CC analysis revealed statistically significant difference from ran-
20 dom networks in only one size class. In the 30–35 cm size class (the
21 second-largest class), the observed CC was significantly lower than ex-
22 pected for a randomly organized forest. Low values of CC may indicate
23 that species tend to be spatially overdispersed, or that species are less
24 ecologically compatible as understory neighbors of each other. Previous
25 studies have shown that large trees tend to be overdispersed or more
26 regular in their spatial arrangement relative to smaller trees (Vacek
27 and Leps [1996], Kenkel et al. [1997]). Thus, the CC of the 30–35-cm
28 size class is consistent with overdispersion, but why then do we not see
29 a similar pattern in the largest size class of 35–40-cm trees? Note that
30 there is also an overall decreasing relationship between the CC and
31 size class in the SANs. That this pattern is common to both observed
32 and random of SAN suggests the influence of changes in tree density
33 and species richness related to thinning or allometry. That the CC was
34 unable to detect a statistically significant difference in all but the 30–
35 35-cm size class raises the question of whether the growth history in
36 this class is somehow different than that of other size classes? Perhaps
37 this age cohort experienced a disturbance (disease, wind throw, fire,
38 etc.) in the past that did not affect the other classes as intensely?

39 The CPL of a network is a measure of the density of the connec-
40 tions in a network. We interpret it as the degree to which the spa-
41 tial distributions of the different species are well mixed. The more
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heterospecifics found in the understory on average, the more connections there will be among species (nodes) and the lower the CPL. Spatial segregation of species reduces the diversity of local neighborhoods, and should therefore increase the CPL. In four of the seven size classes, we find no difference in CPL between the observed and random SANs (Figure 4b). This indicates that in these classes, network analysis could not detect spatial segregation. By contrast, SANs representing three of the size classes have CPLs that are significantly higher than the corresponding random SANs. We conclude that in these size classes random processes and deterministic limitations on tree density and species richness are insufficient to overcome spatial structure imposed by other forces. Whether those forces are related to habitat selection, species interactions, or some combination is unclear. Interestingly, Kenkel et al. [1997] found that the spatial pattern of a stand of *Pinus banksiana* was random in the intermediate age class (30–40 years) but clumped in early stages and overdispersed at later stages. In other words, the nonrandomness in the spatial pattern was bimodal with respect to age class. In our networks that represent the observed species distribution, the intermediate size class is close to the mean of the random distributions in CPL whereas nonrandom values appear to either side of the mean. Thus the observed multispecies pattern, though complex, is in some ways similar to the pattern Kenkel found for a single population. This suggests that the processes that govern spatial variation in intraspecific density also influence spatial patterns in species diversity.

The experiments reported here decompose the overall structure of the forest into separate components based on trees size. Would we have obtained different results if we had performed our analysis without regard to tree size or with less restriction on crown overlap? Previous analyses reported elsewhere (Fuller [2004]) speak to this question. In separate experiments, we analyzed the structure of SANs constructed using all neighboring trees that had at least 10% crown overlap with a target tree. This approach generates neighborhoods that are more inclusive of shorter trees growing closer to the trunk of the target trees. When we applied this construction, we found that the SAN representing the observed forest plot had significantly higher CPL and significantly lower CC than that of the SANs representing randomized plots. These results suggest that the overall structure of the forest plot is far from random. However, by decomposing the overall variation into separate tree size

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4 classes as we have done here, we are able to detect the influence of
5 random processes that are obscured when the size classes are grouped
6 in a single analysis.

7 Our results show that the choice of which measure of topological
8 structure to use is crucial to detecting nonrandom patterns. Here, we
9 employed three commonly used metrics. These metrics are frequently
10 used to identify patterns and understand processes in other areas of
11 biology (Fell and Wagner [2000], Jeong et al. [2000], Strogatz [2001],
12 Wagner [2001], Williams et al. [2002]). However, there are many other
13 measures of network structure we could have used, such as network
14 diameter (average minimum distance among nodes), node connectivity
15 (the smallest number of nodes whose removal results in a disconnected
16 graph), or edge connectivity (the smallest number of edges whose re-
17 moval results in a disconnected graph). These metrics examine network
18 structure from different perspectives than the measures we chose.

19
20 As mentioned above, graph representations are highly flexible and
21 can represent community structure at many levels of resolution. A re-
22 lated motivation for graph-based analyses is that any one graph can
23 be characterized in many ways, and thus many ways in which it could
24 reveal nonrandom patterns. There is growing recognition among ecol-
25 ogists of the need to examine their data from multiple perspectives.
26 Many natural and anthropogenic processes influence the geographic
27 variation in species patterns, and it is often difficult to identify the key
28 processes responsible for a specific pattern. Hence, several ecologists
29 and statisticians have recommended using multiple measures of spatial
30 structure when comparing competing hypotheses (Burnham and An-
31 derson [2002], Fortin and Dale [2005], Grimm and Railsback [2005]).
32 Network analysis may be particularly useful in this regard, as it incor-
33 porates multiple measures of structure into a single framework. Again,
34 the choice of characterization method is crucial here. Whether using
35 other metrics would have uncovered stronger differences between the
36 observed and random SANs than we found here is uncertain. Clearly,
37 many kinds of analyses can be performed using network analysis on a
38 single dataset and this is one of its strengths. There are ample possi-
39 bilities to develop both statistically and biologically sensible indicators
40 of ecological structure. We hope our example will encourage others to
41 consider using graph-based approaches for the analysis of ecological
42 patterns.

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Queries

- Q1** PE: Please confirm the correctness of style of the footnote (Current Address).
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